

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK**

IN RE CRONOS GROUP INC.  
SECURITIES LITIGATION

Civil Action No. 2:20-cv-01310-ENV-JMW

**PROOF OF CLAIM AND RELEASE FORM**

**I. GENERAL INSTRUCTIONS**

1. To recover as a member of the Settlement Class based on your claims in the class action entitled *In re Cronos Group Inc. Securities Litigation*, No. 20-cv-01310-ENV (E.D.N.Y.) (the “Action”), you must complete and, on page 5 below, sign this Proof of Claim and Release form (“Claim Form”). If you fail to submit a timely and properly addressed (as explained in paragraph 2 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement of the Action. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement.<sup>1</sup>

**2. THIS CLAIM FORM MUST BE SUBMITTED NO LATER THAN NOVEMBER 9, 2026. CLAIMS MAY BE SUBMITTED ONLINE AT WWW.CRONOSUSSECURITIESSETTLEMENT.COM OR, IF MAILED, MUST BE POSTMARKED NO LATER THAN NOVEMBER 9, 2026, AND ADDRESSED AS FOLLOWS:**

*Cronos U.S. Securities Settlement*  
c/o A.B. Data, Ltd.  
P.O. Box 173088  
Milwaukee, WI 53217  
(877) 226-4986

3. If you are a member of the Settlement Class and you have not requested exclusion from the Settlement Class, you will be bound by and subject to the terms of all judgments and orders entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

**II. CLAIMANT IDENTIFICATION**

4. If you purchased or otherwise acquired the publicly traded common stock of Cronos Group Inc. (“Cronos”) on the NASDAQ, or any other public U.S. market for trading stocks, during the period from May 9, 2019 through March 30, 2020, both dates inclusive (“Class Period”), and held the stock in your name, you are the beneficial and record owner of the shares. If, however, the Cronos shares were purchased or acquired through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

5. Use **Part I** of this form entitled “Claimant Identification” to identify each beneficial owner of Cronos publicly traded common stock that forms the basis of this claim, as well as the owner of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.**

6. All joint owners must sign this claim. Executors, administrators, guardians, conservators, legal representatives, and trustees filing this claim must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

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<sup>1</sup> All capitalized terms not defined in this Claim Form have the meanings given in the Stipulation and Agreement of Settlement, dated as of December 2, 2025 (the “Stipulation”), available at [www.CronosUSSecuritiesSettlement.com](http://www.CronosUSSecuritiesSettlement.com).

### **III. IDENTIFICATION OF TRANSACTIONS**

7. Use **Part II** of this form entitled “Schedule of Transactions in Cronos Publicly Traded Common Stock Purchased on NASDAQ or U.S. Market” to supply all required details of the transaction(s). If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

8. On the schedules, provide all of the requested information with respect to the purchases or acquisitions of Cronos publicly traded common stock, whether the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

9. The date of covering a “short sale” is deemed to be the date of purchase or acquisition of Cronos common stock. The date of a “short sale” is deemed to be the date of sale.

10. Claims must be accompanied by adequate supporting documentation for the transactions reported, in the form of broker confirmation slips, broker account statements, an authorized statement from the broker containing the transactional information found in a broker confirmation slip, or such other documentation as is deemed adequate by the Claims Administrator and/or Lead Counsel. Self-generated emails or spreadsheets are not sufficient. Failure to provide sufficient documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN CRONOS PUBLICLY TRADED COMMON STOCK.**

11. NOTICE REGARDING ELECTRONIC FILES: Certain Claimants with large numbers of transactions may request, or may be asked, to submit information regarding their transactions in electronic files. (This is different than the online claim portal on the Settlement website.) All such Claimants MUST submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Claims Administrator at (877) 226-4986 to obtain the required file layout or visit [www.CronosUSSecuritiesSettlement.com](http://www.CronosUSSecuritiesSettlement.com). No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

12. **Only Cronos shares purchased/acquired on the NASDAQ, or another public U.S. market for trading stocks, are eligible for a recovery from the Settlement and should be reported on this Claim Form. Purchases on the Toronto Stock Exchange (“TSX”) or any other non-U.S. market are not eligible and should not be reported.** If documentation does not state the market where a trade took place, the Claims Administrator will assume that trades in U.S. Dollars are U.S. trades, and that trades in a different currency are not U.S. trades, unless the Claimant can establish otherwise. Claimants bear the burden of establishing their right to a recovery from the Net Settlement Fund.

**PART I: CLAIMANT IDENTIFICATION**

Please complete this PART I in its entirety. The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Beneficial Owner's Name:

Co-Beneficial Owner's Name:

Record Owner/Entity Name (if Claimant is not an individual):

Representative or Custodian Name (if different from Beneficial Owner(s) listed above):

Address 1 (street name and number):

Address 2 (apartment, unit, or box number):

City

State

Zip Code/Province Code

Country

Social Security Number (Last four digits only) or Taxpayer Identification Number (last four digits only):

Telephone Number (Home):

Telephone Number (Cell):

|                      |                      |
|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|

Email Address:

Account Number (if filing for multiple accounts, file a separate Claim Form for each account):

Claimant Account Type (check appropriate box):

Individual (includes joint owner accounts)

☐

Corporation

☐

IRA/401k

☐

Pension Plan

☐

Estate

☐

Trust

☐

Other \_\_\_\_\_ (please specify)

**PART II: SCHEDULE OF TRANSACTIONS IN CRONOS PUBLICLY  
TRADED COMMON STOCK PURCHASED ON NASDAQ OR U.S. MARKET**

| <b>1. BEGINNING HOLDINGS</b> – State the total number of shares of Cronos publicly traded common stock purchased on the NASDAQ or other public U.S. market and held at the opening of trading on May 9, 2019. If none, write “0” or “Zero.” (Must submit documentation.) <div style="text-align: right; border: 1px solid black; width: 200px; height: 25px; margin-top: 5px;"></div>                                                |                          |                                       |                                                                                             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------------|---------------------------------------------------------------------------------------------|
| <b>2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD</b> – Separately list each and every purchase and acquisition of Cronos publicly traded common stock on the NASDAQ or other public U.S. market during the period from May 9, 2019 through March 30, 2020. (Must submit documentation.)                                                                                                                                          |                          |                                       |                                                                                             |
| Date of Purchase/ Acquisition<br>(List Chronologically)<br>MM/DD/YY                                                                                                                                                                                                                                                                                                                                                                  | Number of<br>Shares      | Price Per Share<br>(USD Only)         | Total Purchase/ Acquisition Price<br>(USD Only) (excluding taxes,<br>commissions, and fees) |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
| <b>3. PURCHASES/ACQUISITIONS DURING THE 90-DAY LOOK-BACK PERIOD</b> – State the total number of shares of Cronos publicly traded common stock purchased/acquired on the NASDAQ or other public U.S. market from March 31, 2020 through the close of trading on June 26, 2020. <sup>2</sup> (Must submit documentation.) <div style="text-align: right; border: 1px solid black; width: 200px; height: 25px; margin-top: 5px;"></div> |                          |                                       |                                                                                             |
| <b>4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOK-BACK PERIOD</b> – Separately list each and every sale of Cronos publicly traded common stock on the NASDAQ or other public U.S. market from May 9, 2019 through the close of trading on June 26, 2020. (Must submit documentation.)                                                                                                                                   |                          |                                       |                                                                                             |
| Date of Sale<br>(List Chronologically)<br>(MM/DD/YY)                                                                                                                                                                                                                                                                                                                                                                                 | Number of<br>Shares Sold | Sale Price<br>Per Share<br>(USD Only) | Total Sale Price<br>(USD Only) (excluding taxes,<br>commissions and fees)                   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          | \$                                    | \$                                                                                          |
| <b>5. ENDING HOLDINGS</b> – State the total number of shares of Cronos publicly traded common stock purchased on the NASDAQ or other public U.S. market and held as of the close of trading on June 26, 2020. If none, write “0” or “Zero.” (Must submit documentation.) <div style="text-align: right; border: 1px solid black; width: 200px; height: 25px; margin-top: 5px;"></div>                                                |                          |                                       |                                                                                             |
| <b>IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS<br/>YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX</b>                                                                                                                                                                                                                                                                                                                    |                          |                                       | <div style="border: 1px solid black; width: 50px; height: 25px;"></div>                     |

<sup>2</sup> Information requested in this Claim Form with respect to purchases/acquisitions from March 31, 2020 through June 26, 2020 is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases/acquisitions during this period, however, are not eligible for a recovery because they are outside the Class Period and will not be used for purposes of calculating Recognized Loss Amounts pursuant to the Plan of Allocation.

#### **IV. SUBMISSION TO JURISDICTION OF THE COURT AND ACKNOWLEDGMENTS**

13. By signing and submitting this Claim Form, the Claimant(s) or the person(s) acting on behalf of the Claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Eastern District of New York with respect to my (our) claim as a Settlement Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that, once the Settlement reaches its Effective Date, I (we) will be bound by and subject to the terms of all judgments and orders entered in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in Cronos publicly traded common stock and other Cronos securities, if required to do so. I (We) have not submitted any other claim covering the same transactions in Cronos publicly traded common stock during the time periods herein and know of no other person having done so on my (our) behalf.

#### **V. RELEASES, WARRANTIES, AND CERTIFICATION**

14. I (We) hereby warrant and represent that I am (we are) a Settlement Class Member as defined in the notices, and that I am (we are) not excluded from the Settlement Class.

15. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever compromise, settle, release, resolve, relinquish, waive, and discharge with prejudice the Released Plaintiff's Claims as to each and all of the Released Defendant Parties (as these terms are defined in the Notice). I (We) hereby further acknowledge that this release includes all Released Plaintiff's Claims asserted on my behalf in this Action and the action in the Ontario Superior Court of Justice titled *Harpreet Badesha v. Cronos Group, Inc. et al.*, Court File No. CV-20-00641990-00CP (Ontario Sup. Ct. Justice) that arise out of or are based on the purchase, acquisition, or sale of Cronos publicly traded common stock on the NASDAQ or any other public U.S. market for trading stocks. This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.

16. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

17. I (We) hereby warrant and represent that I (we) have included information about all purchases, acquisitions, and sales of Cronos publicly traded common stock that occurred on the NASDAQ, or any other public U.S. market, during the relevant time periods and the number of shares held by me (us), to the extent requested.

18. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 2026

\_\_\_\_\_  
Signature of Claimant

\_\_\_\_\_  
Type or print name of Claimant

\_\_\_\_\_  
Signature of Joint Claimant, if any

\_\_\_\_\_  
Type or print name of Joint Claimant

\_\_\_\_\_  
Signature of person signing on behalf  
of Claimant

\_\_\_\_\_  
Type or print name of person signing  
on behalf of Claimant

\_\_\_\_\_  
Capacity of person signing on behalf of Claimant/Joint Claimant, if other than an individual (e.g., Administrator, Executor, Trustee, President, Custodian, Power of Attorney, etc.)

### REMINDER CHECKLIST:

1. Sign this Claim Form.
2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation as these documents will not be returned to you.
4. Keep a copy of your Claim Form for your records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. **Your claim is not deemed submitted until you receive an acknowledgment postcard.** If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll free at (877) 226-4986 or email at [info@CronosUSSecuritiesSettlement.com](mailto:info@CronosUSSecuritiesSettlement.com).
6. If you move after submitting this Claim Form, please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.